# EXPLORATIONS: AN OPEN INVITATION TO BIOLOGICAL ANTHROPOLOGY, 2ND EDITION

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## Chapter 10: Early Members of the Genus Homo

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This chapter is a revision from "Chapter 10: Early Members of the Genus Homo" by Bonnie Yoshida-Levine. In Explorations: An Open Invitation to Biological Anthropology, first edition, edited by Beth Shook, Katie Nelson, Kelsie Aguilera, and Lara Braff, which is licensed under CC BY-NC 4.0.

#### Learning Objectives

- Describe how early Pleistocene climate change influenced the evolution of the genus Homo.
- Identify the characteristics that define the genus Homo.
- Describe the skeletal anatomy of Homo habilis and Homo erectus based on the fossil evidence.
- · Assess opposing points of view about how early Homo should be classified.



The boy was no older than nine years when he perished by the swampy shores of the lake. After death, his slender, long-limbed body sank into the mud of the lake shallows. His bones fossilized and lay undisturbed for 1.5 million years. In the 1980s, fossil hunter Kamoya Kimeu, working on the western shore of Lake Turkana, Kenya, glimpsed a dark-colored piece of bone eroding in a hillside. This small skull fragment led to the discovery of what is arguably the world's most complete early hominin fossil—a youth identified as a member of the species *Homo erectus*. Now known as Nariokotome Boy, after the nearby lake village, the skeleton has provided a wealth of information about the early evolution of our own genus, *Homo* (see Figure 10.1). Today, a stone monument with an inscription in three languages—English, Swahili, and the local Turkana language—marks the site of this momentous fossil discovery.



Figure 10.1a-b: a. Skeleton of a young male Homo erectus known as "Nariokotome Boy"; b. an artist's depiction of how he may have looked during his life. This is the most complete hominin fossil from this time period ever found. Credit: a. KNM-WT 15000 Turkana Boy Skeleton by Smithsonian [exhibit: Human Evolution Evidence, Human Fossils, Fossils, KNM-WT 15000] is copyrighted and used for educational and non-commercial purposes as outlined by the Smithsonian. b. Homo-erectus Turkana-Boy (Ausschnitt) Fundort Nariokotome, Kenia, Rekonstruktion im Neanderthal Museum by Neanderthal Museum is under a CC BY-SA 4.0 License.

Chapter 9 described our oldest human ancestors, primarily members of the genus *Australopithecus*, who lived between 2 million and 4 million years ago. This chapter introduces the earliest members of the genus *Homo*, focusing on *Homo habilis* and *Homo erectus*.

## Defining the Genus Homo

Because Anthropology is fundamentally concerned with what makes us human, defining our own genus takes on special significance for anthropologists. Ever since scientists acknowledged the existence of extinct species of humans, they have debated which of them display sufficient "humanness" to merit classification in the genus *Homo*. When grouping species into a common genus, biologists consider criteria such as physical characteristics (morphology), evidence of recent common ancestry, and adaptive strategy (use of the environment). However, there is disagreement about which of those criteria should be prioritized, as well as how specific fossils should be interpreted in light of the criteria.

Nevertheless, there is general agreement that species classified as *Homo* should share characteristics that are broadly similar within our species. These include the following:

- a relatively large brain size, indicating a high degree of intelligence;
- a smaller and flatter face;
- smaller jaws and teeth; and
- increased reliance on culture, particularly the use of stone tools, to exploit a greater diversity of environments (adaptive zone).

Some researchers would include larger overall body size and limb proportions (longer legs/shorter arms) in this list. While these criteria seem relatively clear-cut, evaluating them in the fossil record has proved more difficult, particularly for the earliest members of the genus. There are several reasons for this. First, many fossil specimens dating to this time period are incomplete and poorly preserved. Second, early *Homo* fossils appear quite variable in brain size, facial features, and teeth and body size, and there is not yet consensus about how to best make sense of this diversity. Finally, there is growing evidence that the evolution of the genus *Homo* proceeded in a mosaic pattern: in other words, these characteristics did not appear all

at once in a single species; rather, they were patchily distributed in different species from different regions and time periods. Consequently, different researchers have come up with conflicting classification schemes depending on which criteria they think are most important.

In this chapter, we will take several pathways toward examining the origin and evolution of the genus *Homo*. First, we will explore the environmental conditions of the Pleistocene epoch in which the genus *Homo* evolved. Next we will examine the fossil evidence for the two principal species traditionally identified as early Homo: *Homo habilis* and *Homo erectus*. Then we will use data from fossils and archaeological sites to reconstruct the behavior of early members of *Homo*, including tool manufacture, subsistence practices, migratory patterns, and social structure. Finally, we will consider these together in an attempt to characterize the key adaptive strategies of early *Homo* and how they put our early ancestors on the trajectory that led to our own species, *Homo sapiens*.

### Climate Change and Human Evolution

A key goal in the study of human origins is to learn about the environmental pressures that may have shaped human evolution. As indicated in Chapter 7, scientists use a variety of techniques to reconstruct ancient environments. These include stable isotopes, core samples from oceans and lakes, windblown dust, analysis of geological formations and volcanoes, and fossils of ancient plant and animal communities. Such studies have provided valuable information about the environmental context of early *Homo*.

The early hominin species covered in Chapter 9, such as *Ardipithecus ramidus* and *Australopithecus afarensis*, evolved during the late **Pliocene** epoch. The Pliocene (5.3 million to 2.6 million years ago) was marked by cooler and drier conditions, with ice caps forming permanently at the poles. Still, Earth's climate during the Pliocene was considerably warmer and wetter than at present.

The subsequent **Pleistocene** epoch (2.6 million years to 11,000 years ago) ushered in major environmental change. The Pleistocene is popularly referred to as the Ice Age. Since the term "Ice Age" tends to conjure up images of glaciers and woolly mammoths, one would naturally assume that this was a period of uniformly cold climate around the globe. But this is not actually the case. Instead, climate became much more variable, cycling abruptly between warm/wet (interglacial) and cold/dry (glacial) cycles. These patterns were influenced by changes in Earth's elliptical orbit around the sun. As is shown in Figure 10.2, each cycle averaged about 41,000 years during the early Pleistocene; the cycles then lengthened to about 100,000 years starting around 1.25 million years ago. Since mountain ranges, wind patterns, ocean currents, and volcanic activity can all influence climate patterns, there were wide-ranging regional and local effects.

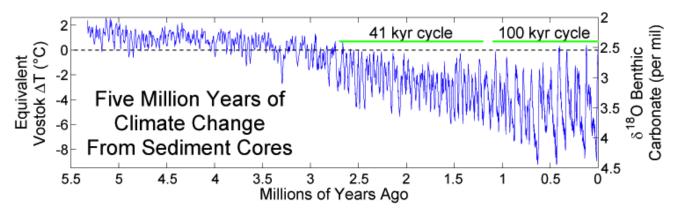


Figure 10.2: Temperature estimates during the last five million years, extrapolated from deep-sea core data. Lower temperatures and increased temperature oscillations start at 2.6 million years ago. Glacial/interglacial cycles during the early part of the epoch are shorter, each averaging about 41,000 years. Credit: Five Myr Climate Change by Dragons flight (Robert A. Rohde), based on data from Lisiechi and Raymo (2005), is under a CC BY-SA 3.0 License.

Data on ancient geography and climate help us understand how our ancestors moved and migrated to different parts of the world—as well as the constraints under which they operated. When periods of global cooling dominated, sea levels were lower as more water was captured as glacial ice. This exposed continental margins and opened pathways between land masses. During glacial periods, the large Indonesian islands of Sumatra, Java, and Borneo were connected to the Southeast Asian mainland, while New Guinea was part of the southern landmass of greater Australia. There was a land bridge connection between Britain and continental Europe, and an icy, treeless plain known as Beringia connected Northern Asia and Alaska.

At the same time, glaciation made some northern areas inaccessible to human habitation. For example, there is evidence that hominin species were in Britain 950,000 years ago, but it does not appear that Britain was continuously occupied during this period. These early humans may have died out or been forced to abandon the region during glacial periods.

In Africa, paleoclimate research has determined that grasslands (shown in Figure 10.3) expanded and shrank multiple times during this period, even as they expanded over the long term (deMenocal 2014). From studies of fossils, paleontologists have been able to reconstruct Pleistocene animal communities and to consider how they were affected by the changing climate. Among the African animal populations, the number of grazing animal species such as antelope increased. Although the African and Eurasian continents are connected by land, the Sahara desert and the mountainous topography of North Africa serve as natural barriers to crossing. But the fossil record shows that at different times animal species have moved back and forth between Africa and Eurasia. During the early Pleistocene, there is evidence of African mammal species such as baboons, hippos, antelope, and African buffalo migrating out of Africa into Eurasia during periods of aridity (Belmaker 2010).

This changing environment was undoubtedly challenging for our ancestors, but it offered new opportunities to make a living. One solution adopted by some hominins was to specialize in feeding on the new types of plants growing in this landscape. The robust australopithecines (described in Chapter 9) likely developed their large molar teeth with thick enamel in order to exploit this particular dietary niche.

Members of the genus *Homo* took a different route. Faced with the unstable African climate and shifting landscape, they evolved bigger brains that enabled them to rely on cultural solutions such as crafting stone tools that opened up new foraging opportunities. This strategy of behavioral flexibility served them well during this unpredictable time and led to new innovations such as increased meat-eating, cooperative hunting, and the exploitation of new environments outside Africa.



Figure 10.3: A savanna grassland in East Africa. Habitats such as this were becoming increasingly common during the Pleistocene. Credit: Savanna grasslands of East Africa by International Livestock Research Institute (ILRI)/Elsworth is under a CC BY-NC-SA 2.0 License.

## *Homo habilis*: The Earliest Members of Our Genus

*Homo habilis* has traditionally been considered the earliest species placed in the genus *Homo*. However, as we will see, there is substantial disagreement among paleoanthropologists about the fossils classified as *Homo habilis*, including whether they come from a single species or multiple, or even whether they should be part of the genus *Homo* at all.

*Homo habilis* has a somewhat larger brain size—an average of 650 cubic centimeters (cc)—compared to *Australopithecus* with less than 500 cc. Additionally, the skull is more rounded and the face less prognathic. However, the postcranial remains show a body size and proportions similar to *Australopithecus*.

Known dates for fossils identified as *Homo habilis* range from about 2.5 million years ago to 1.7 million years ago. Recently, a partial lower jaw dated to 2.8 million years from the site of Ledi-Gararu in Ethiopia has been tentatively identified as belonging to the genus *Homo* (Villmoare et al. 2015). If this classification holds up, it would push the origins of our genus back even further.

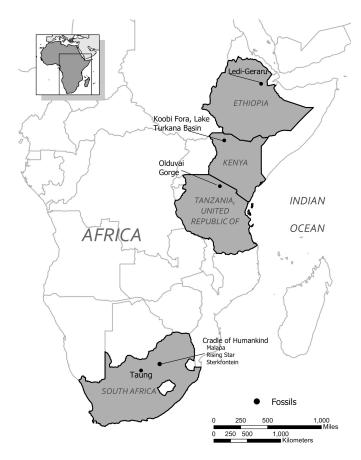


Figure 10.4: Map showing major sites where *Homo habilis* fossils have been found. Ledi-Geraru is located in Ethiopia, Koobi Fora and Lake Turkana Basin are located in Kenya, the Olduvai Gorge is located in Tanzania, and Tuang, Malapa, Rising Star and Sterkfontein are located in South Africa. Credit: Homo habilis site map (Figure 10.4) original to Explorations: An Open Invitation to Biological Anthropology by Chelsea Barron at GeoPlace, California State University, Chico is under a CC BY-NC 4.0 License.

#### **Discovery and Naming**

The first fossils to be named Homo habilis were discovered at the site of Olduvai Gorge in Tanzania, East Africa, by members of a team led by Louis and Mary Leakey (Figure 10.4). The Leakey family had been conducting fieldwork in the area since the 1930s and had discovered other hominin fossils at the site, such as the robust Paranthropos boisei. The key specimen, a juvenile individual, was actually found by their 20-year-old son Jonathan Leakey. Louis Leakey invited South African paleoanthropologist Philip Tobias and British anatomist John Napier to reconstruct and analyze the remains. The fossil of the juvenile shown in Figure 10.5 (now known as OH-7) consisted of a lower jaw, parts of the parietal bones of the skull, and some hand and finger bones. The fossil was dated by potassium-argon dating to about 1.75 million years. In 1964, the team published their findings in the scientific journal Nature (Leakey et al. 1964). As described in the publication, the new fossils had smaller molar teeth that were less "bulgy" than australopithecine teeth. Although the primary specimen was not yet fully grown, an estimate of its anticipated adult brain size would make it somewhat larger-brained than australopithecines such as Austalopithecus africanus. The hand bones were capable of a precision grip like a human's hand. This increased the likelihood that stone tools found earlier at Olduvai Gorge were made by this group of hominins. Based on these findings, the authors inferred that it was a new species that should be classified in the genus Homo. They gave it the name Homo habilis, meaning "handy" or "skilled."



Figure 10.5a-c: Homo habilis fossil specimens. From left to right they are: a. lateral right view of OH-24 (found at Olduvai Gorge), b. lateral right view of KNM-ER-1813 (from Koobi Fora, Kenya), and c. the jaw of OH-7, which was the type specimen found in 1960 at Olduvai Gorge, Tanzania. Credit: a. Homo habilis: OH 24 lateral right view by ©eFossils is under a CC BY-NC-SA 2.0 License and is used as outlined by eFossils. b. Homo habilis: KNM-ER 1813 lateral right view by ©eFossils is under a CC BY-NC-SA 2.0 License and is used as outlined by eFossils. c. Homo habilis: OH 7 Jaw by ©BoneClones is used by permission and available here under a CC BY-NC 4.0 License.

#### Controversies over Classification of Homo habilis

Since its initial discovery, many more Homo habilis were discovered in East and South African sites during the 1970s and 1980s (Figure 10.6). As more fossils joined the ranks of *Homo habilis*, several trends became apparent. First, the fossils were quite variable. While some resembled the fossil specimen first published by Leakey and colleagues, others had larger cranial capacity and tooth size. A well-preserved fossil skull from East Lake Turkana labeled KNM-ER-1470 displayed a larger cranial size along with a strikingly wide face. The diversity of the *Homo habilis* fossils prompted some scientists to question whether they displayed too much variation to all belong to the same species. They proposed splitting the fossils into at least two groups. The first group resembling the original small-brained specimen would retain the species name *Homo habilis*; the second group consisting of the larger-brained fossils such as KNM-ER-1470 would be assigned the new name of *Homo rudolfensis* (see Figure 10.7). Researchers who favored keeping all fossils in *Homo habilis* argued that sexual dimorphism, adaptation to local environments, or **developmental plasticity** could be the cause of the differences. For example, modern human body size and body proportions are influenced by variations in climates and nutritional circumstances.

Location of Fossils	Dates	Description
Ledi-Gararu, Ethiopia	2.8 mya	Partial lower jaw with evidence of both <i>Australopithecus</i> and <i>Homo</i> traits; tentatively considered oldest Early <i>Homo</i> fossil evidence.
Olduvai Gorge, Tanzania	1.7 mya to 1.8 mya	Several different specimens classified as Homo habilis, including the type specimen found by Leakey, a relatively complete foot, and a skull with a cranial capacity of about 600 cc.
Koobi Fora, Lake Turkana Basin, Kenya	1.9 mya	Several fossils from the Lake Turkana basin show considerable size differences, leading some anthropologists to classify the larger specimen (KNM-ER-1470) as a separate species, <i>Homo rudolfensis</i> .
Sterkfontein and other possible South African cave sites	about 1.7 mya	South African caves have yielded fragmentary remains identified as <i>Homo habilis</i> , but secure dates and specifics about the fossils are lacking.

Figure 10.6: Key Homo habilis fossil locations and the corresponding fossils and dates. Credit: Homo habilis table (Figure 10.6) original to Explorations: An Open Invitation to Biological Anthropology by Bonnie Yoshida-Levine is under a CC BY-NC 4.0 License.



Figure 10.7: Cast of the Homo habilis cranium KNM-ER-1470. This cranium has a wide, flat face, larger brain size, and larger teeth than other Homo habilis fossils, leading some scientists to give it a separate species name, Homo rudolfensis. Credit: Homo rudolfensis Cranium KNM-ER 1470 by ©BoneClones is used by permission and available here under a CC BY-NC 4.0 License.

Given the incomplete and fragmentary fossil record from this time period, it is not surprising that classification has proved contentious. As a scholarly consensus has not yet emerged on the classification status of early *Homo*, this chapter makes use of the single (inclusive) *Homo habilis* species designation.

There is also disagreement on whether *Homo habilis* legitimately belongs in the genus *Homo*. Most of the fossils first classified as *Homo habilis* were skulls and teeth. When arm, leg, and foot bones were later found, making it possible to estimate body size, the specimens turned out to be quite small in stature with long arms and short legs. Analysis of the relative strength of limb bones suggested that the species, though bipedal, was much more adapted to arboreal climbing than *Homo erectus* and *Homo sapiens* (Ruff 2009). This has prompted some scientists to assert that *Homo habilis* behaved more like an australopithecine—with a shorter gait and the ability to move around in the trees (Wood and Collard 1999). They were also skeptical of the claim that the brain size of *Homo habilis* was much larger than that of *Australopithecus*. They have proposed reclassifying some or all of the *Homo habilis* fossils into the genus *Australopithecus*, or even placing them into a newly created genus (Wood 2014).

Other scholars have interpreted the fossil evidence differently. A recent reanalysis of *Homo habilis/rudolfensis* fossils concluded that they sort into the genus *Homo* rather than *Australopithecus* (see Hominin Species Summaries at chapter end). In particular, statistical analysis performed indicates that the *Homo habilis* fossils differ significantly in average cranial capacity from the australopithecines. They also note that some australopithecine species such as the recently discovered *Australopithecus sediba* have relatively long legs, so body size may not have been as significant as brain- and tooth-size differences (Anton et al. 2014).

#### Special Topic: Kamoya Kimeu

Kamoya Kimeu (1938–2022) is arguably the most prolific fossil hunter in the history of paleoanthropology (Figure 10.8). In addition to his many decades of work as a field excavator and project supervisor in East Africa, he also trained field workers and scholars and has served as curator for prehistoric sites for the National Museum of Kenya.

Kamoya Kimeu was born in 1938 in rural southeastern Kenya. Despite a formal education that did not go past the sixth grade, he had an aptitude for languages and familiarity with the plants and animals in the East African bush that led him to a job in Tanzania as a field excavator for Louis and Mary Leakey in 1960. In the years that followed, Kimeu found dozens of major hominin fossils. These included a *Paranthropus boisei* mandible at Olduvai Gorge, *Homo habilis* specimen KNM-ER-1813 from the Turkana Basin (shown in Figure 10.5), and a key early modern *Homo sapiens* fossil from the Omo Valley, Ethiopia. Kimeu's most famous fossil discovery was the skeleton of a young *Homo erectus* by the Nariokotome river bed in 1984. This finding was highly significant because it was a nearly complete early hominin skeleton and provided insight into child development within this species. In recognition of his work, Kimeu was awarded the National Geographic Society La Gorce Medal by U.S. President Ronald Reagan in 1985.

Traditionally, there has been a divide between African field workers and foreign research scientists, who would typically conduct seasonal field work in Africa, then travel back to their home institutions to publish their findings. Although Kimeu received widespread acclaim for the Nariokotome discovery, as well as a personal acknowledgement in the publication of the find in the journal *Nature*, he was not credited as an author. More recently, Kimeu's intellectual contributions to the field of paleoanthropology have been recognized. In 2021, he received an honorary doctorate degree from Case Western Reserve University in



Figure 10.8: Kamoya Kimeu (1938-2022). Credit: Photograph of Kamoya Kimeu by ©Dr. Mark Teaford is used by permission.

Ohio. Kimeu's most lasting legacy may be his mentorship of countless field workers and students. Today, there are a small but growing number of Black African paleoanthropologists taking on principal roles in the science of human origins.

#### Homo habilis Culture and Lifeways

#### **Early Stone Tools**

The larger brains and smaller teeth of early *Homo* are linked to a different adaptive strategy than that of earlier hominins: one dependent on modifying rocks to make stone tools and exploit new food sources. As discussed in Chapter 9, the 3.3-million-year-old stone tools from the Lomekwi 3 site in Kenya were made by earlier hominin species than *Homo*. However, stone tools become more frequent at sites dating to about 2 million years ago, the time of *Homo habilis* (Roche et al. 2009). This suggests that these hominins were increasingly reliant on stone tools to make a living.

Stone tools are assigned a good deal of importance in the study of human origins. Examining the form of the tools, the raw materials selected, and how they were made and used can provide insight into the thought processes of early humans and how they modified their environment in order to survive. Paleoanthropologists have traditionally classified collections of stone tools into industries, based on their form and mode of manufacture. There is not an exact correspondence between a tool industry and a hominin species; however, some general associations can be made between tool industries and particular hominins, locations, and time periods.

The **Oldowan** tool industry is named after the site of Olduvai Gorge in Tanzania where the tools were first discovered. The time period of the Oldowan is generally estimated to be 2.5 mya to 1.6 mya. The tools of this industry are described as "flake and chopper" tools—the choppers consisting of stone cobbles with a few flakes struck off them (Figure 10.9). To a casual observer, these tools might not look much different from randomly broken rocks. However, they are harder to make than their crude appearance suggests. The rock selected as the core must be struck by the rock serving as a hammerstone at just the right angle so that one or more flat flakes are removed. This requires selecting rocks that will fracture predictably instead of chunking, as well as the ability to plan ahead and envision the steps needed to create the finished product. The process leaves both the core and the flakes with sharp cutting edges that can be used for a variety of purposes.

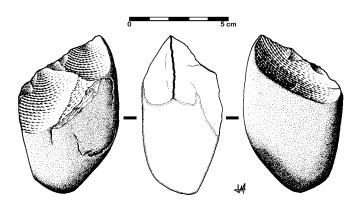


Figure 10.9: Drawing of an Oldowan-style tool. This drawing shows a chopper; the flakes removed from the cores functioned as cutting tools. Credit: Chopping tool by José-Manuel Benito Álvarez is under a CC BY-SA 2.5 License.

## Stone Tool Use and the Diet of Early *Homo*

What were the hominins doing with the tools? One key activity seems to have been butchering animals. Studies of animal bones at the site show cut marks on bones, and leg bones are often cracked open, suggesting that they were extracting the marrow from the bone cavities. It is interesting to consider whether the hominins hunted these animals or acquired them through other means. The butchered bones come from a variety of African mammals, ranging from small antelope to animals as big as wildebeest and elephants! It is difficult to envision slow, small-bodied *Homo habilis* with their Oldowan tools bringing down such large animals. One possibility is that the hominins were scavenging carcasses from lions and other large cats. Paleoanthropologist Robert Blumenschine has investigated this

hypothesis by observing the behavior of present-day animal carnivores and scavengers on the African savanna. When lions abandon a kill after eating their fill, scavenging animals arrive almost immediately to pick apart the carcass. By the time slow-footed hominins arrived on the scene, the carcass would be mostly stripped of meat. However, if hominins could use stone tools to break into the leg bone cavities, they could get to the marrow, a fatty, calorie-dense source of protein (Blumenschine et al. 1987). Reconstructing activities that happened millions of years ago is obviously a difficult undertaking, and paleoanthropologists continue to debate whether scavenging or hunting was more commonly practiced during this time.

Regardless of how they were acquiring the meat, these activities suggest an important dietary shift from the way that the australopithecines were eating. The Oldowan toolmakers were exploiting a new ecological niche that provided them with more protein and calories. And it was not just limited to meat-eating—stone tool use could have made available numerous other subsistence opportunities. A study of microscopic wear patterns on a sample of Oldowan tools indicates that they were used for processing plant materials such as wood, roots or tubers, and grass seeds and stems (Lemorini et al. 2014). In fact, it has been pointed out that the Oldowan toolmakers' cutting ability (whether for the purposes of consuming meat and plants or for making tools, shelters, or clothing) represents a new and unique innovation, never seen before in the natural world (Roche et al. 2009).

Overall, increasing the use of stone tools allowed hominins to expand their ecological niche and exert more control over their environment. As we'll see shortly, this pattern continued and became more pronounced with *Homo erectus*.

#### Homo erectus: Biological and Cultural Innovations

Two million years ago, a new hominin appeared on the scene. Known as *Homo erectus*, the prevailing scientific view was that this species was much more like us. These hominins were equipped with bigger brains and large bodies with limb proportions similar to our own. Perhaps most importantly, their way of life is now one that is recognizably human, with more advanced tools, hunting, use of fire, and colonizing new environments outside of Africa.

As will be apparent below, new data suggests that the story is not quite as simple. The fossil record for *Homo erectus* is much more abundant than that of *Homo habilis*, but it is also more complex and varied—both with regard to the fossils as well as the geographic context in which they are found. We will first summarize the anatomical characteristics that define *Homo erectus*, and then discuss the fossil evidence from Africa and the primary geographic regions outside Africa where the species has been located.

#### Homo erectus Anatomy

Compared to *Homo habilis, Homo erectus* showed increased brain size, smaller teeth, and a larger body. However, it also displayed key differences from later hominin species including our own. Although the head of *Homo erectus* was less ape-like in appearance than the australopithecines, it did not resemble modern humans (Figure 10.10). Compared to *Homo habilis, Homo erectus* had a larger brain size: an average of about 900 cc compared to 650 cc to 750 cc. Instead of a rounded shape like our skulls, the *erectus* skull was long and low like a football, with a receding forehead, and a horizontal ridge called an **occipital torus** that gave the back of the skull a squared-off appearance. The cranial bones are thicker than those of modern humans, and some *Homo erectus* skulls have a slight thickening along the sagittal suture called a **sagittal keel**. Large, shelf-like brow ridges hang over the eyes. The face shows less **prognathism**, and the back teeth are smaller than those of *Homo habilis*. Instead of a pointed chin, like ours, the mandible of *Homo erectus* recedes back.

Apart from these features, there is significant variation among *Homo erectus* fossils from different regions. Scientists have long noted differences between the fossils from Africa and those from Indonesia and China. For example, the Asian fossils tend to have a thicker skull and larger brow ridges than the African specimens, and the sagittal keel described above is more pronounced. *Homo erectus* fossils from the Republic of Georgia (described in the next section) also display distinctive characteristics. As with *Homo habilis*, this diversity has prompted a classification debate about whether or not *Homo erectus* should be split into multiple species. When African *Homo erectus* is characterized as a separate species, it is called



Figure 10.10: Replica of Homo erectus from Java, Indonesia. This cranium (known as Sangiran 17) dates to approximately 1.3 million to 1 million years ago. Note the large brow ridges and the occipital torus that gives the back of the skull a squared-off appearance. Credit: Homo erectus: Sangiran 17 lateral left view by ©eFossils is under a CC BY-NC-SA 2.0 License and is used as outlined by eFossils.

*Homo ergaster*, while the Asian variant retains the *erectus* species name because it was discovered first. Here, the species name *Homo erectus* will be used for both variants.

*Homo erectus* was thought to have a body size and proportions more similar to modern humans. Unlike *Homo habilis* and the australopithecines, both of whom were small-statured with long arms and short legs, *Homo erectus* shows evidence of being fully committed to life on the ground. This meant long, powerfully muscled legs that enabled these hominins to cover more ground efficiently. Indeed, studies of the *Homo erectus* body form have linked several characteristics of the species to long-distance running in the more open savanna environment (Bramble and Lieberman 2004). Many experts think that hominins around this time had lost much of their body hair, were particularly efficient at sweating, and had darker-pigmented skin—all traits that would support the active lifestyle of such a large-bodied hominin (see Special Topic box, "How We Became Sweaty, Hairless Primates").

Much of the information about the body form of *Homo erectus* comes from the Nariokotome fossil of the *Homo erectus* youth, described at the beginning of the chapter (see Figure 10.1). However, *Homo erectus* fossils are turning out to be more varied than previously thought. *Homo erectus* fossils from sites in Africa, as well as from Dmanisi, Georgia, show smaller body sizes than the Nariokotome boy. Even the Nariokotome skeleton itself has been reassessed: some now predict he would have been about 5 feet and 4 inches when fully grown rather than over 6 feet as initially hypothesized, although there is still disagreement about which measurement is more accurate. One explanation for the range of body sizes could be adaptation to a range of different local environments, just as humans today show reduced body size in poor nutritional environments (Anton and Snodgrass 2012).

#### Homo erectus in Africa

Although the earliest discoveries of *Homo erectus* fossils were from Asia, the greatest quantity and best-preserved fossils of the species come from East African sites. The earliest fossils in Africa identified as *Homo erectus* come from the East African site of Koobi Fora, around Lake Turkana in Kenya, and are dated to about 1.8 million years ago. Other fossil remains have been found in East African sites in Kenya, Tanzania, and Ethiopia. Other notable African *Homo erectus* finds are a female pelvis from the site of Gona, Ethiopia (Simpson et al. 2008), and a cranium with massive brow ridges from Olduvai Gorge known as Olduvai 9, thought to be about 1.4 million years old.

Until recently, *Homo erectus*' presence in southern Africa has not been well documented. However, work at the Drimolen cave site in South Africa has yielded new fossils of *Paranthropus robustus*, and the cranium of a 2–3 year old child tentatively identified as *Homo erectus*, dated to about 2 million years (Herries et al. 2020). If substantiated, this would be the oldest discovery to date of *Homo erectus* anywhere.

#### **Regional Discoveries Outside Africa**

It is generally agreed that *Homo erectus* was the first hominin to migrate out of Africa and colonize Asia and later Europe (although recent discoveries in Asia may challenge this view). Key locations and discoveries of *Homo erectus* fossils, along with the fossils' estimated ages, are summarized in Figures 10.11 and 10.12.

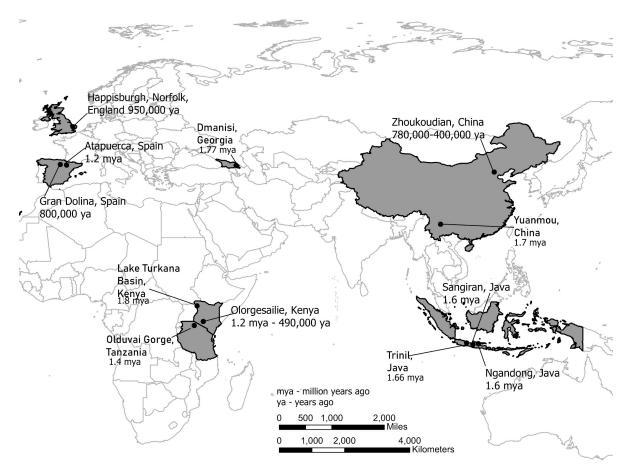


Figure 10.11: Map showing the locations of Homo erectus fossils around Africa and Eurasia. A full text description of this image is available. Credit: Homo erectus site map original to Explorations: An Open Invitation to Biological Anthropology by Chelsea Barron at GeoPlace, California State University, Chico is under a CC BY-NC 4.0 License.

Figure 10.12: Regional comparisons of Homo erectus fossils. Credit: Regional comparisons of Homo erectus fossils (Figure 10.12) original to Explorations: An Open Invitation to Biological Anthropology is under a CC BY-NC 4.0 License.

Region	Sites	Dates	Significance of Fossils
East Africa	East and West Lake Turkana, Kenya; Olduvai Gorge, Tanzania	1.8 to 1.4 mya	Earliest evidence of <i>H. erectus</i> ; significant variation in skull and facial features.
South Africa	Drimolen Cave, South Africa	2 mya	Recent find of a 2–3 year old child would be oldest <i>H. erectus</i> anywhere to date.
Western Eurasia	Dmanisi, Republic of Georgia	1.75 mya	Smaller brains and bodies than <i>H. erectus</i> from other regions.
Western Europe	Atapuerca, Spain (Sima del Elefante and Gran Dolina caves)	1.2 mya– 400,000 ya	Partial jaw from Atapuerca is oldest evidence of <i>H. erectus</i> in Western Europe. Fossils from Gran Dolina (dated to about 800,000 years) sometimes referred to as <i>H. antecessor</i> .
Indonesia	Ngandong, Java; Sangiran, Java	1.6 mya	Early dispersal of <i>H. erectus</i> to East Asia; Asian <i>H. erectus</i> features.
China	Zhoukoudian, China; Loess Plateau (Lantian)	780,000– 400,000 ya; 2.1 mya	Large sample of <i>H. erectus</i> fossils and artifacts. Recent evidence of stone tools from Loess Plateau suggests great antiquity of <i>Homo</i> in East Asia.

#### Indonesia

The first discovery of *Homo erectus* was in the late 1800s in Java, Indonesia. A Dutch anatomist named Eugene Dubois searched for human fossils with the belief that since orangutans lived there, it might be a good place to look for remains of early humans. He discovered a portion of a skull, a femur, and other bone fragments on a riverbank. While the femur looked human, the top of the skull was smaller and thicker than that of a modern person. Dubois named the fossil *Pithecanthropus erectus* ("upright ape-man"), popularized in the media at the time as "Java Man." After later discoveries of similar fossils in China and Africa, they were combined into a single species (retaining the *erectus* name) under the genus *Homo*.

Although *Homo erectus* has a long history in Indonesia, the region's geology has complicated the dating of fossils and sites. Fossils from the Sangiran Dome, Java, had previously been estimated to be as old as 1.8 million years, but scientists using new dating methods have arrived at a later date of about 1.3 mya (Matsu'ura et al. 2020). On the recent end of the timeline, a cache of *H. erectus* fossils from the site of Ngandong in Java has yielded a surprisingly young date of 43,000 years, although a newer study with different dating methods concluded that they were between 117,000 to 108,000 years old (Rizal et al. 2020).

#### China

There is evidence of *Homo erectus* in China from several regions and time periods. *Homo erectus* fossils from northern China, collectively known as "Peking Man," are some of the most famous human fossils in the world. Dated to about 400,000–700,000 years ago, they were excavated from the site of Zhoukoudian, near the outskirts of Beijing. Hundreds of bones and teeth, including six nearly complete skulls, were excavated from a cave in the 1920s and 1930s. Much of the fossils' fame comes from the fact that they disappeared under mysterious circumstances. As Japan advanced into China during World War II, Chinese authorities, concerned for the security of the fossils, packed up the boxes and arranged for them to be transported to the United States. But in the chaos of the war, they vanished and were never heard about again. Fortunately, an anatomist named Frans Weidenreich had previously studied the bones and made casts and measurements of the skulls, so this valuable information was not lost. More recent excavations at Longgushan "Dragon Bone Cave" at Zhoukoudian—of tools, living sites, and food remains—have revealed much about the lifestyle of *Homo erectus* during this time.

Despite this long history of research, China, compared to Africa, was perceived as somewhat peripheral to the study of hominin evolution. Although *Homo erectus* fossils have been found at several sites in China, with dates that make them comparable to those of Indonesian *Homo erectus*, none seemed to approximate the antiquity of African sites. The notable finds at sites like Nariokotome and Olorgesaille took center stage during

the 1970s and 1980s, as scientists focused on elucidating the species' anatomy and adaptations in its African homeland. In contrast, fewer research projects were focused on East Asian sites (Dennell and Roebroeks 2005; Qiu 2016).

However, isolated claims of very ancient hominin occupation kept cropping up from different locations in Asia. While some were dismissed because of problems with dating methods or stratigraphic context, the 2018 publication of the discovery of 2.1-million-year-old stone tools from China caught everyone's attention. Based on paleomagnetic techniques that date the associated soils and windblown dust, these tools indicate that hominins in Asia predated those from the Georgian site of Dmanisi by at least 300,000 years (Zhu et al. 2018). In fact, the tools are older than any *Homo erectus* fossils anywhere. Since no fossils were found with the tools, it isn't known which species made them, but it opens up the intriguing possibility that hominins could have migrated out of Africa earlier than *Homo erectus*. These new discoveries are shaking up previously held views of the East Asian human fossil record.

#### Western Eurasia

An extraordinary collection of fossils from the site of Dmanisi in the Republic of Georgia has revealed the presence of *Homo erectus* in Western Eurasia between 1.75 million and 1.86 million years ago. Dmanisi is located in the Caucasus mountains in Georgia. When archaeologists began excavating a medieval settlement near the town in the 1980s and came across the bones of extinct animals, they shifted their focus from the historic to the prehistoric era, but they probably did not anticipate going back quite so far in time. The first hominin fossils were discovered in the early 1990s, and since that time, at least five relatively well-preserved crania have been excavated.

There are several surprising things about the Dmanisi fossils. Compared to African *Homo erectus*, they have smaller brains and bodies. However, despite the small brain size, they show clear signs of *Homo erectus* traits such as heavy brow ridges and reduced facial prognathism. Paleoanthropologists have pointed to some aspects of their anatomy (such as the shoulders) that appear rather primitive, although their body proportions seem fully committed to terrestrial bipedalism. One explanation for these differences could be that the Dmanisi hominins represent a very early form of *Homo erectus* that left Africa before increases in brain and body size evolved in the African population.

Second, although the fossils at this location are from the same geological context, they show a great deal of variation in brain size and in facial features. One skull (Skull 5) has a cranial capacity of only 550 cc, smaller than many *Homo habilis* fossils, along with larger teeth and a protruding face. Scientists disagree on what these differences mean. Some contend that the Dmanisi fossils cannot all belong to a single species because each one is so different. Others assert that the variability of the Dmanisi fossils proves that they, along with all early Homo fossils, including *H. habilis* and *H.rudolfensis*, could *all* be grouped into *Homo erectus* (Lordkipanidze et al. 2013). Regardless of which point of view ends up dominating, the Dmanisi hominins are clearly central to the question of how to define the early members of the genus *Homo*.

#### Europe

Until recently, there was scant evidence of any *Homo erectus* presence in Europe, and it was assumed that hominins did not colonize Europe until much later than East Asia or Eurasia. One explanation for this was that the harsh climate of Western Europe served as a barrier to settlement. However, recent fossil finds from Spain suggest that *Homo erectus* could have made it into Europe over a million years ago. In 2008 a mandible from the Atapuerca region in Spain was discovered, dating to about 1.2 million years ago. A more extensive assemblage of fossils from the site of Gran Dolina in Atapuerca have been dated to about 800,000 years ago. In England in 2013 fossilized hominin footprints of adults and children dated to 950,000 years ago were found at the site of Happisburgh, Norfolk, which would make them the oldest human footprints found outside Africa (Ashton et al. 2014).

At this time, researchers aren't in agreement as to whether the first Europeans belonged to *Homo erectus* proper or to a later descendent species. Some scientists refer to the early fossils from Spain by the species name *Homo antecessor*.

#### Special Topic: How We Became Hairless, Sweaty Primates

As an anthropology instructor teaching human evolution, my students often ask me about human body hair: When did our ancestors lose it and why? It is assumed that our earliest ancestors were as hairy as modern-day apes. Yet, today, we lack thick hair on most parts of our bodies except in the armpits, pubic regions, and tops of our heads. Humans actually have about the same number of hair follicles per unit of skin as chimpanzees, but, the hairs on most of our body are so thin as to be practically invisible. When did we develop this peculiar pattern of hairlessness? Which selective pressures in our ancestral environment were responsible for this unusual characteristic?

Many experts believe that the driving force behind our loss of body hair was the need to effectively cool ourselves. Along with the lack of hair, humans are also distinguished by being exceptionally sweaty: we sweat larger quantities and more efficiently than any other primate. Humans have a larger amount of eccrine sweat glands than other primates and these glands generate an enormous volume of watery sweat. Sweating produces liquid on the skin that cools the body off as it evaporates. It seems likely that hairlessness and sweating evolved together, as a recent DNA analysis has identified a shared genetic pathway between hair follicles and eccrine sweat gland production (Kamberov et al. 2015).

Which particular environmental conditions led to such adaptations? In this chapter, we learned that the climate was a driving force behind many changes seen in the hominin lineage during the Pleistocene. At that time, the climate was increasingly arid and the forest canopy in parts of Africa was being replaced with a more open grassland environment, resulting in increased sun exposure for our ancestors. Compared to the earlier australopithecines, members of the genus *Homo* were also developing larger bodies and brains, starting to obtain meat by hunting or scavenging carcasses, and crafting sophisticated stone tools.

According to Nina Jablonski, an expert on the evolution of human skin, the loss of body hair and increased sweating capacity are part of the package of traits characterizing the genus *Homo*. While larger brains and long-legged bodies made it possible for humans to cover long distances while foraging, this new body form had to cool itself effectively to handle a more active lifestyle. Preventing the brain from overheating was especially critical. The ability to keep cool may have also enabled hominins to forage during the hottest part of the day, giving them an advantage over savanna predators, like lions, that typically rest during this time (Jablonski 2010).

When did these changes occur? Although hair and soft tissue do not typically fossilize, several indirect methods have been used to explore this question. One method tracks a human skin color gene. Since chimpanzees have light skin under their hair, it is probable that early hominins also had light skin color. Apes and other mammals with thick fur coats have protection against the sun's rays. As our ancestors lost their fur, it is likely that increased melanin pigmentation was selected for as a way to shield our ancestors from harmful ultraviolet radiation. A recent genetic analysis determined that one of the genes responsible for melanin production originated about 1.2 million years ago (Rogers et al 2004).

Another line of evidence tracks the coevolution of a rather unpleasant human companion—the louse. A genetic study identified human body louse as the youngest of the three varieties of lice that infest humans, splitting off as a distinct variety around 70,000 years ago (Kittler et al. 2003). Because human body lice can only spread through clothing, this may have been about the time when humans started to regularly wear clothing. However, the split between human head and pubic lice is estimated to have occurred much earlier, about three million years ago (Bower 2003; Reed et al. 2007). When humans lost much of their body hair, lice that used to roam freely around the body were now confined to two areas: the head and pubic region. As a result of this separation, the lice population split into two distinct groups.

Other explanations have been suggested for the loss of human body hair. For example, being hairless makes it more difficult for skin parasites like lice, fleas, and ticks to live on us. Additionally, after bipedality evolved, hairless bodies would also make reproductive organs and female breasts more visible, suggesting that sexual selection may have played a role.

### Homo erectus Lifeways

Now, our examination of Homo erectus will turn to its lifeways-how the species utilized its environment in order to survive. This includes making

inferences about diet, technology, life history, environments occupied, and perhaps even social organization. As will be apparent, *Homo erectus* shows significant cultural innovations in these areas, some that you will probably recognize as more "human-like" than any of the hominins previously covered.

#### Tool Technology: Acheulean Tool Industry

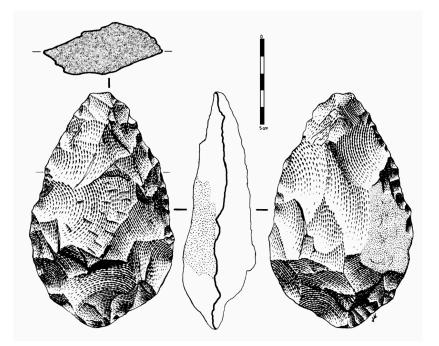


Figure 10.13: Drawing of an Acheulean handaxe. This specimen is from Spain. When drawing a stone tool, artists typically show front and back faces, as well as top and side profiles. Credit: Hand axe spanish by José-Manuel Benito (user: Locutus Borg) has been designated to the public domain (CCO).

In early African sites associated with *Homo erectus*, stone tools such as flakes and choppers identified to the Oldowan Industry dominate. Starting at about 1.5 million years ago, some *Homo erectus* populations began making different forms of tools. These tools—classified together as constituting the **Acheulean** tool industry—are more complex in form and more consistent in their manufacture. Unlike the Oldowan tools, which were cobbles modified by striking off a few flakes, Acheulean toolmakers carefully shaped both sides of the tool. This type of technique, known as bifacial flaking, requires more planning and skill on the part of the toolmaker; he or she would need to be aware of principles of symmetry when crafting the tool. One of the most common tool forms, the handaxe, is shown in Figure 10.13. As with the tool illustrated below, handaxes tend to be thicker at the base and then come to a rounded point at the tip. Besides handaxes, forms such as scrapers, cleavers, and flake tools are present at *Homo erectus* sites.

One striking aspect of Acheulean tools is their uniformity. They are more standardized in form and mode of manufacture than the earlier Oldowan tools. For example, the aforementioned handaxes vary in size, but they are remarkably consistent in regard to their shape and proportions. They were also an incredibly stable tool form over time—lasting well over a million years with little change.

Curiously, the Acheulean tools so prominent at African sites are mostly absent in *Homo crectus* sites in East Asia. Instead, Oldowan-type choppers and scrapers are found at those sites. If this technology seemed to be so important to African *Homo crectus*, why didn't East Asian *Homo crectus* also use the tools? One reason could be environmental differences between the two regions. It has been suggested that *Asian Homo crectus* populations used perishable material such as bamboo to make tools. Another possibility is that *Homo crectus* (or even an earlier hominin) migrated to East Asia before the Acheulean technology developed in Africa. The recent discovery of the 2.1-million-year-old tools in China gives credence to this last explanation.

What (if anything) do the Acheulean tools tell us about the mind of *Homo erectus*? Clearly, they took a fair amount of skill to manufacture. Apart from the actual shaping of the tool, other decisions made by toolmakers can reveal their use of foresight and planning. Did they just pick the most

convenient rocks to make their tools, or did they search out a particular raw material that would be ideal for a particular tool? Analysis of Acheulean stone tools suggest that at some sites, the toolmakers selected their raw materials carefully—traveling to particular rock outcrops to quarry stones and perhaps even removing large slabs of rock at the quarries to get at the most desirable material. Such complex activities would require advanced planning and communication with other individuals. However, other *Homo erectus* sites lack evidence of such selectivity; instead of traveling even a short distance for better raw material, the hominins tended to use what was available in their immediate area (Shipton et al. 2018).

In contrast to *Homo erectus* tools, the tools of early modern *Homo sapiens* during the Upper Paleolithic display tremendous diversity across regions and time periods. Additionally, Upper Paleolithic tools and artifacts communicate information such as status and group membership. Such innovation and social signaling seem to have been absent in *Homo erectus*, suggesting that they had a different relationship with their tools than did *Homo sapiens* (Coolidge and Wynn 2017). Some scientists assert that these contrasts in tool form and manufacture may signify key cognitive differences between the species, such as the ability to use a complex language.

#### **Subsistence and Diet**

In reconstructing the diet of *Homo erectus*, researchers can draw from multiple lines of evidence. These include stone tools used by *Homo erectus*, animal bones and occasionally plant remains from *Homo erectus* sites, and the bones and teeth of the fossils themselves. These data sources suggest that compared to the australopithecines, *Homo erectus* consumed more animal protein. Coinciding with the appearance of *Homo erectus* fossils in Africa are archaeological sites with much more abundant stone tools and larger concentrations of butchered animal bones.

It makes sense that a larger body and brain would be correlated with a dietary shift to more calorically dense foods. This is because the brain is a very energetically greedy organ. Indeed, our own human brains require more than 20% of one's calorie total intake to maintain. When biologists consider the evolution of intelligence in any animal species, it is often framed as a cost/benefit analysis: For large brains to evolve, there has to be a compelling benefit to having them and a way to generate enough energy to fuel them.

One solution that would allow for an increase in human brain size would be a corresponding reduction in the size of the digestive tract (gut). According to the "expensive tissue hypothesis," initially formulated by Leslie Aiello and Peter Wheeler (1995), a smaller gut would allow for a larger brain without the need for a corresponding increase in the organism's metabolic rate. More meat in the diet could also fuel the larger brain and body size seen in the genus *Homo*. Some researchers also believe that body fat percentages increased in hominins (particularly females) around this time, which would have allowed them to be better buffered against environmental disruption such as food shortages (Anton and Snodgrass 2012).

As indicated above, evidence from archaeology and the inferences about *Homo erectus* body size suggest increased meat eating. How much hunting did *Homo erectus* engage in compared to the earlier Oldowan toolmakers? Although experts continue to debate the relative importance of hunting versus scavenging, there seems to be stronger evidence of hunting for these hominins. For example, at sites such as Olorgesailie in Kenya (Figure 10.14), there are numerous associations of Acheulean tools with butchered remains of large animals.

However, *Homo erectus* certainly ate more than just meat. Studies of the tooth surfaces and microscopic wear patterns on hominin teeth indicate that these hominins ate a variety of foods, including some hard, brittle plant foods (Unger and Scott 2009). This would make sense, considering the environment was changing to be more dominated by grasslands in some areas. Roots, bulbs, and tubers (known as underground storage organs) of open savanna plants may have been a primary food source. Indeed, hunter-gatherer groups such as the Hadza of Tanzania rely heavily on such foods,



Figure 10.14: Excavations at the site of Olorgesailie, Kenya. Dated from between 1.2 million years ago and 490,000 years ago, Olorgesailie has some of the most abundant and well-preserved evidence of Homo erectus activity in the world. Fossils of large mammals, such as elephants, along with thousands of Acheulean tools, have been uncovered over the decades. Credit: Elephant Butchery Site Olorgesailie, Kenya by Smithsonian [exhibit: Human Evolution Research, East African Research Projects, Olorgesailie, Kenya] is copyrighted and used for educational and non-commercial purposes as outlined by the Smithsonian.

especially during periods when game is scarce. In the unstable environment of the early Pleistocene, dietary versatility would be a definite advantage.

#### Tool Use, Cooking, and Fire

One key characteristic of the genus *Homo* is smaller teeth compared to *Australopithecus*. Why would teeth get smaller? In addition to new types of foods, changes in how food was prepared and consumed likely led to a decrease in tooth size. Think about how you would eat if you didn't have access to cutting tools. What you couldn't rip apart with your hands would have to be bitten off with your teeth—actions that would require bigger, more powerful teeth and jaws. As stone tools became increasingly important, hominins began to cut up, tenderize, and process meat and plants, such that they did not have to use their teeth so vigorously.

Cooking food could also have contributed to the reduction in tooth and jaw size. In fact, anthropologist Richard Wrangham (2009) asserts that cooking played a crucial role in human evolution. Cooking provides a head start in the digestive process because of how heat begins to break down food before food even enters the body, and it can help the body extract more nutrients out of meat and plant foods such as starchy tubers.

Obviously cooking requires fire, and the earliest use of fire is a fascinating topic in the study of human evolution. Fire is not only produced by humans; it occurs naturally as a result of lightning strikes. Like other wild animals, early hominins must have been terrified of wildfires, but at some point in time they learned to control fire and put it to good use. Documenting the earliest evidence of fire has been a contentious issue in archaeology because of the difficulty in distinguishing between human-controlled fire and natural burning at hominin sites. Burned areas and ash deposits must have direct associations with human activity to make a case for deliberate fire use. Unfortunately, such evidence is rare at ancient hominin sites, which have been profoundly altered by humans, animals, and geological forces over millions of years. Recently, newer methods—including microscopic analysis of burned rock and bone—have revealed clear evidence of fire use at Koobi Fora, Kenya, dating to 1.5 million years ago (Hlubik et al. 2017).

#### **Migration out of Africa**

*Homo erectus* is generally thought to be the first hominin species to have left Africa. It is hypothesized that they settled in places in Eurasia, such as the Republic of Georgia, Indonesia, and northern China, where fossil evidence of *Homo erectus* exists. But why would this species have traveled such vast distances to these far-flung regions? To answer this question, we have to consider what we have learned about the biology, culture, and environmental circumstances of *Homo erectus*. The larger brain and body size of *Homo erectus* were fueled by a diet consisting of more meat, and their longer, more powerful legs made it possible to walk and run longer distances to acquire food. Since they were eating higher on the food chain, it was necessary for them to extend their home range to find sufficient game. Cultural developments—including better stone tools and new technology such as fire—gave them greater flexibility in adapting to different environments. Finally, the major Pleistocene climate shift discussed earlier in the chapter certainly played a role. Changes in air temperature, precipitation, access to water sources, and other habitat alteration had farreaching effects on animal and plant communities; this included *Homo erectus*. If hominins were relying more on hunting, the migration patterns of their prey could have led them to traverse increasingly long distances.

#### Life History

The **life history** of a species refers to its overall pattern of growth, development, and reproduction during its lifetime, with the assumption that these characteristics have been shaped by natural selection. The field of **human behavioral ecology**, explored in more detail in Appendix C, examines the roots of human behavior and life history. Our species, *Homo sapiens*, is characterized by a unique life history pattern of slow development, an extended period of juvenile dependence, and a long lifespan. Whereas the offspring of great apes achieve self-sufficiency early, human children are dependent on their parents long after weaning. Additionally, human fathers and grandparents (particularly postmenopausal grandmothers) devote substantial time and energy to caring for their children.



Figure 10.15: Hadza men practice bowing. Native to Tanzania, the Hadza have retained many traditional foraging practices. Although most do not subsist entirely upon wild foods today, their way of life may shed light on how humans lived for most of their evolutionary history. Credit: Hadzabe1 by Idobi is under a CC BY-SA 3.0 License.

Human behavioral ecologists who study modern hunter-gatherer societies have observed that foraging is no easy business (Figure 10.15). Members of these groups engage in complex foraging techniques that take many years to master. An extended juvenile period gives children the time to acquire these skills. It also allows time for large human brains to grow and mature. On the back end, a longer developmental period results in skilled, successful adults, capable of living a long time (Hill and Kaplan 1999). Despite the time and energy demands, females could have offspring at more closely spaced intervals if they could depend on help from fathers and grandmothers (Hawkes et al. 1998).

What can the study of *Homo erectus* reveal about its life history pattern? Well-preserved fossils such as the Nariokotome boy can provide some insights. We know that apes such as chimpanzees reach maturity more quickly than humans, and there is some evidence that the australopithecines had a growth rate more akin to that of chimpanzees. Scientists have conducted extensive studies of the Nariokotome skeleton's bones and teeth to assess growth and development. On the one hand, examination of the long bone ends (epiphyses) of the skeleton suggested that he was an early adolescent with a relatively large body mass, though growth had not yet been completed. On the other hand, study of the dentition, including measurement of microscopic layers of tooth enamel called **perikymata**, revealed a much younger age of 8 or 9. According to Christopher Dean and Holly Smith (2009), the best explanation for this discrepancy between the dental and skeletal age is that *Homo erectus* had its own distinct growth pattern—reaching maturity more slowly than chimpanzees but faster than *Homo sapiens*. This suggests that the human life history pattern of slow maturation and lengthy dependency was a more recent development. More work remains on refining this pattern for early *Homo*, but it is an important topic that sheds light on how and when we developed our unique life history characteristics.

#### The Big Picture of Early Homo

We are discovering that the evolution of the genus *Homo* is more complex than what was previously thought. The earlier view of a simple progression from *Australopithecus* to *Homo habilis* to *Homo erectus* as clearly delineated stages in human evolution just doesn't hold up anymore.

As is apparent from the information presented here, there is tremendous variability during this time. While fossils classified as *Homo habilis* show many of the characteristics of the genus *Homo*, such as brain expansion and smaller tooth size, the small body size and long arms are more akin to australopithecines. There is also tremendous variability within the fossils assigned to *Homo habilis*, so there is little consensus on whether it is one or multiple species of *Homo*, a member of the genus *Australopithecus*, or even a yet-to-be-defined new genus. Similarly, there are considerable differences in skull morphology and body size and form of *Homo erectus*, of which some specimens show more similarity to *Homo habilis* than previously thought.

What does this diversity mean for how we should view early *Homo*? First, there isn't an abrupt break between *Australopithecus* and *Homo habilis* or even between *Homo habilis* and *Homo erectus*. Characteristics we define as *Homo* don't appear as a unified package; they appear in the fossil record at different times. This is known as **mosaic evolution**. Indeed, fossil species such as *Australopithecus sediba*, as well as *Homo naledi* and *Homo floresiensis* (who will be introduced in Chapter 11), have displayed unexpected combinations of primitive and derived traits.

We can consider several explanations for the diversity we see within early *Homo* from about 2.5 million to 1.5 million years ago. One possibility is the existence of multiple contemporaneous species of early *Homo* during this period. In light of the pattern of environmental instability discussed earlier, it shouldn't be surprising to see fossils from different parts of Africa and Eurasia display tremendous variability. Multiple hominin forms could also evolve in the same region, as they diversified in order to occupy different ecological niches. However, even the presence of multiple species of hominin does not preclude their interacting and interbreeding with one another. As you'll see in Appendix D, sequencing of ancient hominin genomes has led to deeper understanding of genetic relationships between extinct species such as the Neanderthals and Denisovans.

Diversity of brain and body sizes could also reflect developmental plasticity—short-term adaptations within a lifetime (Anton et al. 2014). These have the advantage of being more flexible than genetic natural selection, which could only occur over many generations. For example, among human

populations today, different body sizes are thought to be adaptations to different climate or nutritional environments. Under Pleistocene conditions of intense variability, a more flexible strategy of adaptation would be valuable.

New discoveries are also questioning old assumptions about the behavior of *Homo habilis* and *Homo erectus*. Just as the fossil evidence doesn't neatly separate *Australopithecus* and *Homo*, evidence of the lifeways of early *Homo* show similar diversity. For example, one of the traditional dividing lines between *Homo* and *Australopithecus* was thought to be stone tools: *Homo* made them; *Australopithecus* didn't. However, the recent discovery of stone tools from Kenya dating to 3.3 million years ago challenges this point of view. Similarly, the belief that *Homo erectus* was the first species to settle outside Africa may now come into question with the report of 2.1-million-year-old stone tools from China. If this find is supported by additional evidence, it may cause a reevaluation of *Homo erectus* being the first to leave Africa. Instead, there could have been multiple earlier migrations of hominins such as *Homo habilis* or even *Australopithecus* species.

These various lines of evidence about the genus *Homo* point out the need for a more nuanced view of this period of human evolution. Rather than obvious demarcations between species and their corresponding behavioral advancements, it now looks like many behaviors were shared among species. Earlier hominins that we previously didn't think had the capability could have been doing things like expanding out of Africa or using stone tools. Meanwhile, some other hominins that we had considered more advanced didn't actually have the full suite of "human" characteristics previously expected.

From a student's perspective, all this complexity probably seems frustrating. It would be ideal if the human story were a straightforward, sequential narrative. Unfortunately, it seems that human evolution was not a nice, neat trajectory of increasingly humanlike traits and behaviors; rather, it is emblematic of the untidy but exciting nature of the study of human evolution.

Despite some haziness dominating the early *Homo* narrative, we can identify some overall trends for the million-year period associated with early *Homo*. These trends include brain expansion, a reduction in facial prognathism, smaller jaw and tooth size, larger body size, and evidence of full terrestrial bipedalism. These traits are associated with a key behavioral shift that emphasizes culture as a flexible strategy to adapt to unpredictable environmental circumstances. Included in this repertoire are the creation and use of stone tools to process meat obtained by scavenging and later hunting, a utilization of fire and cooking, and the roots of the human life history pattern of prolonged childhood, cooperation in child raising, and the practice of skilled foraging techniques. In fact, it's apparent that the cultural innovations are driving the biological changes, and vice versa, fueling a feedback loop that continues during the later stages of human evolution.

## Hominin Species Summaries

Hominin	Homo habilis				
Dates	2.5 million years ago to 1.7 million years ago				
Region(s)	East and South Africa				
Famous discoveries	Olduvai Gorge, Tanzania; Koobi Fora, Kenya; Sterkfontein, South Africa				
Brain size	650 cc average (range from 510 cc to 775 cc)				
Dentition	Smaller teeth with thinner enamel compared to <i>Australopithecus</i> ; parabolic dental arcade shape				
Cranial features	Rounder cranium and less facial prognathism than Australopithecus				
Postcranial features	Small stature; similar body plan to <i>Australopithecus</i>				
Culture	Oldowan tools				
Other	N/A				

Hominin	Homo erectus			
Dates	1.8 million years ago to about 110,000 years ago			
Region(s)	East and South Africa; West Eurasia; China and Southeast Asia			
Famous discoveries	Lake Turkana, Olorgesailie, Kenya; Java, Indonesia; Zhoukoudian, China; Dmanisi, Republic of Georgia			
Brain size	Average 900 cc; range between 650 cc and 1,100 cc			
Dentition	Smaller teeth than <i>Homo habilis</i>			
Cranial features	Long, low skull with robust features including thick cranial vault bones and large brow ridge, sagittal keel, and occipital torus			
Postcranial features	Larger body size compared to <i>Homo habilis</i> ; body proportions (longer legs and shorter arms) similar to <i>Homo sapiens</i>			
Culture	Acheulean tools (in Africa); evidence of increased hunting and meat-eating; use of fire; migration out of Africa			
Other	N/A			

#### **Review Questions**

- Describe the climate during the early Pleistocene. Explain why climate is important for understanding the evolution of early *Homo*.
- List the key anatomical characteristics that are generally agreed to define the genus Homo.
- Why has classification of early *Homo* fossils proved difficult? What are some explanations for the variability seen in these fossils?
- Compare and contrast the Oldowan and Acheulean tool industries.
- Name some specific behaviors associated with *Homo erectus* in the areas of tool use, subsistence practices, migration patterns, and other cultural innovations.

## Key Terms

Acheulean: Tool industry characterized by teardrop-shaped stone handaxes flaked on both sides.

Developmental plasticity: The capability of an organism to modify its phenotype during development in response to environmental cues.

Human behavioral ecology: The study of human behavior from an evolutionary and ecological perspective.

Life history: The broad pattern of a species' life cycle, including development, reproduction, and longevity.

Mosaic evolution: Different characteristics evolve at different rates and appear at different stages.

Occipital torus: A ridge on the occipital bone in the back of the skull.

Oldowan: Earliest stone-tool industry consisting of simple flakes and choppers.

Perikymata: Microscopic ridges on the surface of tooth enamel that serve as markers of tooth development.

Pleistocene: Geological epoch dating from 2.6 million years ago to about 11,000 years ago.

Pliocene: Geological epoch dating from 5.3 to 2.6 million years ago.

Prognathism: Condition where the lower face and jaw protrude forward from a vertical plane.

Sagittal keel: A thickened area along the top of the skull.

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## Acknowledgments

The author gratefully acknowledges funding from the California Community Colleges Chancellor's Office Zero Textbook Cost Degree Grant Program—Implementation Phase 2.